

6/2/05

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                      |   |   |
|----------------------|---|---|
| In re Application of | : | Gerhard GUMPOLTSBERGER                        |
| Serial no.           | : | 10/520,749                                    |
| Filed                | : | with an effective filing date of July 7, 2003 |
| For                  | : | MULTI-STEP TRANSMISSION                       |
| Group Art Unit       | : |   |
| Examiner             | : |   |
| Docket               | : | ZAHFRI P712US                                 |

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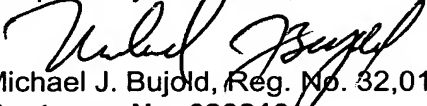
**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with this matter, the Applicant hereby attaches United States Patent Office Form PTO/SB/08a and copies of the information listed in the enclosed PTO/SB/08a form, unless otherwise indicated on such Form.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

  
Michael J. Bujold, Reg. No. 32,018  
**Customer No. 020210**  
Davis & Bujold, P.L.L.C.  
Fourth Floor  
500 North Commercial Street  
Manchester NH 03101-1151  
Telephone 603-624-9220  
Facsimile 603-624-9229  
E-mail: [patent@davisandbujold.com](mailto:patent@davisandbujold.com)

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|   |                        | Application Number       | 10/520,749                                    |
|   |                        | Filing Date              | with an effective filing date of July 7, 2003 |
|   |                        | First Named Inventor     | Gerhard GUMPOLTSBERGER                        |
|   |                        | Art Unit                 |   |
|   |                        | Examiner Name            |   |
| Sheet 1 of 1  | Attorney Docket Number |                          | ZAHFRI P712US                                 |

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number Number-Kind Code <sup>2</sup> | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|-----------------------------|---|---|
|                    | 1                     | US-4,395,925                                  | 08/02/1983                  | GAUS  | Equiv. to DE-029 36 969   |
|                    | 2                     | US-5,455,767                                  | 10/03/1995                  | STAERKER  | Equiv. to EP-0 531 567  |
|                    | 3                     | US-5,514,050                                  | 05/07/1996                  | BÄUERLE et al.                                  | Equiv. to DE-43 30 391  |
|                    | 4                     | US-5,913,746                                  | 06/22/1999                  | BÄUERLE   | Equiv. to DE-196 10 950   |
|                    | 5                     | US-2003/0054917                               | 03/20/2003                  | RAGHAVAN et al.                                 |   |
|                    | 6                     | US-2003/0203784                               | 10/30/2003                  | USORO et al.                                    |   |
|                    | 7                     | US-   |                             |   |   |
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|                    | 9                     | US-   |                             |   |   |
|                    | 10                    | US-   |                             |   |   |
|                    | 11                    | US-   |                             |   |   |
|                    | 12                    | US-   |                             |   |   |
|                    | 13                    | US-   |                             |   |   |
|                    | 14                    | US-   |                             |   |   |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document Country Code <sup>3</sup> No. <sup>4</sup> Kind Code <sup>5</sup> | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|-----------------------------|---|---|----------------|
|                    | 1                     | JP-2000234664   | 08/29/2000                  | OKUBA   | Abstract  | ✓              |
|                    | 2                     | DE-102 31 350 A1  | 01/29/2004                  | GUMPOLTSBERGER                                  | English Translation Attached  |                |
|                    | 3                     | EP-1 411 269 A2   | 04/21/2004                  | BUCKNOR et al.                                  |   |                |
|                    | 4                     |   |                             |   |   |                |
|                    | 5                     |   |                             |   |   |                |
|                    | 6                     |   |                             |   |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

1/10/05

PATENT APPLICATION

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In re Application of : Gerhard GUMPOLTSBERGER  
Serial no. :  
For : MULTI-STEP TRANSMISSION  
Docket : ZAHFRI P712US

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The concise explanation, concerning the now submitted foreign language documents, is found either on pages 1 and 2 of the specification of the present application or on the submitted International or German Novelty Search Reports (see MPEP § 609) issued with respect to corresponding German application, namely, Serial No. 102 31 414.4 and 102 31 413.6. The search report of one (1) other related German application is also enclosed disclosing the relevance of the art cited in that search report. Also enclosed, please find an English translation of the concise explanation, i.e., the category of relevance as asserted by the **German** searching authority, concerning the relevance of each foreign language document.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



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Fourth Floor

500 North Commercial Street

Manchester NH 03101-1151

Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: patent@davisandbujold.com

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|   |                        | Application Number       | 10/520749              |
|   |                        | Filing Date              |                        |
|   |                        | First Named Inventor     | Gerhard GUMPOLTSBERGER |
|   |                        | Art Unit                 |                        |
|   |                        | Examiner Name            |                        |
| Sheet 1 of 3  | Attorney Docket Number | ZAHFRI P712US            |                        |

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|--------------------|-----------------------|---|-----------------------------|---|---|
|                    | 1                     | US-5,106,352                                  | 04/21/1992                  | LEPELLETIER                                     | Equiv to EP-0 434 525   |
|                    | 2                     | US-5,295,924                                  | 03/22/1994                  | BEIM  |   |
|                    | 3                     | US-5,342,257                                  | 08/30/1994                  | HOTTA et al.                                    | Equiv. to DE-691 16 480   |
|                    | 4                     | US-5,435,792                                  | 07/25/1995                  | JUSTICE et al.                                  |   |
|                    | 5                     | US-6,053,839                                  | 04/25/2000                  | BALDWIN et al.                                  |   |
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|                    | 7                     | US-6,139,463                                  | 10/31/2000                  | KASUYA et al.                                   |   |
|                    | 8                     | US-6,217,474 B1                               | 04/17/2001                  | ROSS et al.                                     | Equiv. to DE-100 43 751   |
|                    | 9                     | US-6,425,841 B1                               | 07/30/2002                  | HAKA  |   |
|                    | 10                    | US-2003/0083174 A1                            | 05/01/2003                  | TABATA et al.                                   | Equiv. to DE-102 50 374   |
|                    | 11                    | US-6,558,287 B2                               | 05/06/2003                  | HAYABUCHI et al.                                | Equiv. to DE-102 13 820   |
|                    | 12                    | US-6,572,507 B1                               | 06/03/2003                  | KORKMAZ et al.                                  | Equiv. to DE-199 12 480   |
|                    | 13                    | US-6,634,980 B1                               | 10/21/2003                  | ZIEMER  | Equiv. to DE-199 49 507   |
|                    | 14                    | US-6,723,018 B2                               | 04/20/2004                  | HAYABUCHI et al.                                | Equiv. to DE-102 00 379   |
|                    | 15                    | US-2004/0097324 A1                            | 05/20/2004                  | ZIEMER  | Equiv. to WO-02/079670  |
|                    | 16                    | US-2004/0116238 A1                            | 06/17/2004                  | ZIEMER  | Equiv. to WO-02/079671  |
| Examiner Signature |                       |   |                             | Date Considered                                 |   |

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|---|-----------------------|--|--------------------------------|--|---|----------------|
|   |                       | Application Number   |                                | 10/520749  |   |                |
|   |                       | Filing Date  |                                |  |   |                |
|   |                       | First Named Inventor   |                                | Gerhard GUMPOLTSBERGER                             |   |                |
|   |                       | Art Unit   |                                |  |   |                |
| Examiner Name   |                       |  |                                |  |   |                |
| Sheet 2 of 3  |                       | Attorney Docket Number   |                                | ZAHFRI P712US                                      |   |                |
| <b>FOREIGN PATENT DOCUMENTS</b>   |                       |  |                                |  |   |                |
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|   | 1                     | GB-1 522 616   | 08/27/1978                     | MILLER   |   |                |
|   | 2                     | EP-0 434 525 A1  | 06/26/1991                     | LEPELLETIER  | Equiv. to US-5,106,352  | ✓              |
|   | 3                     | JP-04119245 A  | 04/20/1992                     | SUGANO   | Abstract  |                |
|   | 4                     | EP-0 378 900 B1  | 06/23/1993                     | ASADA  | Equiv. to DE-689 07 338   | ✓              |
|   | 5                     | DE-689 07 338 T2   | 11/25/1993                     | ASADA  | Equiv to EP-0 378 900   | ✓              |
|   | 6                     | DE-691 16 480 T2   | 06/13/1996                     | HOTTA et al.                                       | Equiv. to US-5,342,257  | ✓              |
|   | 7                     | DE-199 12 480 A1   | 09/28/2000                     | KORKMAZ et al.                                     | Equiv. to US-6,572,507  |                |
|   | 8                     | JP-2000291747 A  | 10/20/2000                     | TAKAGI et al.                                      | Abstract  |                |
|   | 9                     | JP-2001082555 A  | 03/27/2001                     | GOTO et al.  | Abstract  |                |
|   | 10                    | DE-199 49 507 A1   | 04/19/2001                     | ZIEMER   | Equiv. To US-6,634,980  |                |
|   | 11                    | DE-100 43 751 A1   | 06/13/2001                     | ROSS et al.  | Equiv. to US-6,217,474  |                |
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|   | 13                    | DE-102 13 820 A1   | 10/10/2002                     | HAYABUCHI et al.                                   | Equiv. to US-6,558,287  |                |
|   | 14                    | WO-02/079670 A2  | 10/10/2002                     | ZIEMER   | Equiv. to US-2004/0097324   |                |
|   | 15                    | WO-02/079671 A2  | 10/10/2002                     | ZIEMER   | Equiv. to US-2004/0116238   |                |
|   | 16                    | JP-2002323098 A  | 11/08/2002                     | HIRAIWA  | Abstract  |                |
|   | 17                    | DE-102 50 374 A1   | 06/18/2003                     | TABATA et al.                                      | Equiv. to US-2003/0083174   |                |
|   | 18                    | WO 03/095865 A1  | 11/20/2003                     | ZIEMER et al.                                      | Abstract  |                |
| Examiner Signature  |                       |  |                                | Date Considered                                    |   |                |

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|   |                        | Filing Date   |                        |
|   |                        | First Named Inventor  | Gerhard GUMPOLTSBERGER |
|   |                        | Art Unit  |                        |
|   |                        | Examiner Name   |                        |
| Sheet 3 of 3  | Attorney Docket Number | ZAHFRI P712US   |                        |
| <b>NON PATENT LITERATURE DOCUMENTS</b>  |                        |   |                        |
| Examiner Initials*  | Cite No. <sup>1</sup>  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup>         |
|   | 1                      | TENBERGE, Prof. Dr. P., "E-Automat Automatikgetriebe mit Esprit", VDI-BERICHTE, Nr. 1610, VDI Verlag GmbH-Düsseldorf, GERMANY, June 20, 2001, pp. 455-479.  |                        |
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| Examiner Signatures   |                        |   | Date Considered        |